JC698 U.S. PTO
01/17/02

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PTO/SB/05 (12/9
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UTILITY PATENT APPLICATION TRANSMITTAL (Only for new nonprovisional applications under 37 CFR 1.53(b))	Atty Docket No.	33335R014(CONT)	Total Pages	17
	First Named Inventor of Application Identifier			
	Richard Warby			
	Express Mail Label No.:			

J1017 U.S. PTO
10/047986
01/17/02

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent contents.

Assistant Commissioner for Patents
ADDRESS TO: Box Patent Applications
Washington, DC 20231

1. ■ Fee Transmittal Form (submit an original and a duplicate for fee processing)
2. ■ Specification [Total Pages 12]
   - Descriptive title of the Invention
   - Background of the Invention
   - Brief Summary of the Invention
   - Brief Description of the Drawings (*if filed*)
   - Claim(s)
   - Abstract of the Disclosure)
3. ■ Drawing(s) (*35 USC 113*) [Total Sheets 2]
4. □ Oath or Declaration [Total Pages]
   a. □ Newly executed (original or copy)
   b. ■ Copy from a prior application (37 CFR 1.63(d)) [Pages 1] (for continuation/division with Box 17 completed)
   [Note Box 5 below]
   i. □ DELETION OF INVENTOR(S)
   Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b)
5. ■ Incorporation By Reference *(useable if Box 4b is checked)*
   The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.
6. □ Microfiche Computer Program *(Appendix)*
7. Nucleotide and/or Amino Acid Sequence Submission *(if applicable, all necessary)*
   a. □ Computer Readable Copy
   b. □ Paper Copy (identical to computer copy)
   c. □ Statement verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

8. □ Assignment Papers (cover sheet & document(s))
9. □ 37 CFR 3.73(b) Statement (when there is an assignee)
   □ Power of Attorney
10. □ English Translation Document *(if applicable)*
11. ■ Information Disclosure Statement (IDS)/PTO-1449
    □ Copies of IDS Citations
12. ■ Preliminary Amendment
13. ■ Return Receipt Postcard (MPEP 503) *Should be specifically itemized)*
14. □ Small entity Statement(s)
    □ Statement filed in prior application. Status still proper and desired.
15. □ Certified Copy of Priority Document(s) (*if foreign priority is claimed*)
16. □ Other:

17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:
■ Continuation □ Divisional □ Continuation-in-part (CIP) of pending prior international application No. U.S. Serial No. 09/642,656, filed 8/22/00 entitled DRUG DELIVERY DEVICES.

18. CORRESPONDENCE ADDRESS

□ Customer Number or Bar Code Label (insert Customer No. or Attach bar code label here) or ■ Correspondence address below

Name	Smith, Gambrell & Russell, LLP, The Beveridge, DeGrandi, Weilacher & Young Intellectual Property Group				
Address	1850 M Street, N.W., Suite 800				
City	Washington	State	D.C.	Zip Code	20036
Country	U.S.	Telephone	(202) 659-2811	Fax	(202) 659-1462

Name (Print/Type)	Dennis C. Rodgers	Registration No. (Attorney/Agent)	32,936
Signature		Date	January 17, 2002

Burden Hour Statement: This form is estimated to take 0 2 hours to complete Time will vary depending upon the needs of the individual case Any comments on the amount of tim you required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETE FORMS TO THE ADDRESS, SEND TO: Assistant Commissioner for Patents, Box CPA, Washington, DC 2023

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FEE TRANSMITTAL

Small Entity payments must be supported by a small entity statement, otherwise large entity fees must be paid.
See Forms PTO/SB/09-12
See 37 C F R §§1 27 and 1 28

TOTAL AMOUNT OF PAYMENT: $ 0.00

Complete if Known	
Application Number	New
Filing Date	January 17, 2002
First Named Inventor	Richard Warby
Examiner Name	Unassigned
Group / Art Unit	Unassigned
Attorney Docket No.	33335R014CONT

METHOD OF PAYMENT (check one)

1. ☐ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account No.: 02-4300
Deposit Account Name: _____

☐ Charge any additional fee Required Under 37 C.F.R. §§1.16 and 1.17 related to this filing
☐ Charge the Issue Fee Set in 37 C.F.R. §1.18 at the Mailing of the Notice of Allowance

2. ☐ Payment Enclosed:
☐ Check ☐ Money Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Fee Code	Large Entity Fee ($)	Small Entity Fee Code	Small Entity Fee ($)	Fee Description	Fee Paid
101	760	201	380	Utility filing fee	760 00
106	310	206	155	Design filing fee	
107	480	207	240	Plant filing fee	
108	760	208	380	Reissue filing fee	
114	150	214	75	Provisional filing fee	

SUBTOTAL (1): $ 0.00

2. EXTRA CLAIM FEES

	Extra Claims	Fee from below	Fee paid
Total Claims	14 -20	= 10 x$18.00=	0.00
Indep. Claims	2 -3	= 1 x $78.00 =	0.00
Multiple Dep.	0	= 0 x $260.00 =	0.00

"or number previously paid, if greater; For Reissues, see below

Large Entity Fee Code	Large Entity Fee ($)	Small Entity Fee Code	Small Entity Fee ($)	Fee Description
103	18	203	9	Claims in excess of 20
102	78	202	39	Independent claims in excess of 3
104	260	204	130	Multiple dependent claim, if not paid
109	78	209	39	"Reissue independent claims over original patent
110	18	210	9	"Reissue claims in excess of 20 and over original patent

SUBTOTAL (2): $ 0.00

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Fee Code	Large Entity Fee ($)	Small Entity Fee Code	Small Entity Fee ($)	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee or oath	
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	380	216	190	Extension for reply within second month	
117	870	217	435	Extension for reply within third month	
118	1,360	218	680	Extension for reply within fourth month	
128	1,850	228	925	Extension for reply within fifth month	
119	300	219	150	Notice of Appeal	
120	300	220	150	Filing a brief in support of an appeal	
121	260	221	130	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,210	241	605	Petition to revive - unintentional	
142	1,210	242	605	Utility issue fee (or reissue)	
143	430	243	215	Design issue fee	
144	580	244	290	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Petitions related to provisional applications	
126	240	126	240	Submission of Information Disclosure Statement	
581	40	581	40	Recording each patent assignment per property (times number of properties)	
146	760	246	380	Filing a submission after final rejection (37 CFR 1 129(a))	
149	70	249	380	For each additional invention to be examined (37 CFR 1 129(b))	

Other fee (specify)_____

Other fee (specify)_____

SUBTOTAL (3): $ 0.00

*Reduced by Basic Filing Fee Paid

TOTAL: $ 0.00

***THIS APPLICATION IS BEING FILED WITHOUT DECLARATION AND WITHOUT FILING FEES**

SUBMITTED BY				Complete (if applicable)	
Typed or Printed Name	Dennis C. Rodgers			Reg. Number	32,936
Signature		Date	January 17, 2002	Deposit Account User ID	02-4300

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